

# Introduction to Computer Science Theory

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## Pumping Lemmas

A general criteria for establishing non-regularity: If  $A$  is a regular language, then there is an integer  $p$  such that for any  $s \in A$  with  $|s| \geq p$  there are strings  $x, y, z$  such that  $s = xyz$  and:

1. for any  $i \geq 0, xy^iz \in A$
2.  $|y| > 0$
3.  $|xy| \leq p$

This is called a pumping lemma because you repeat or pump the substring  $y$ .

## Using the pumping lemma

The language  $L = \{a^j b^{2j} \mid j \geq 0\}$  is not regular. To prove this, suppose for a contradiction that  $L$  is regular. Then by the pumping lemma, let  $p$  be the integer such that every string  $s$  in  $L$  with  $|s| \geq p$  can be written as  $xyz$ , where  $|xy| \leq p, |y| > 0$ , and  $xy^iz \in L$  for all  $i \geq 0$ .

- Let  $s = a^p b^{2p}$ . Then  $s \in L$  and  $|s| \geq p$ . So, there exist strings  $x, y, z$  such that  $s = xyz, |xy| \leq p, |y| > 0$ , and  $xy^iz \in L$  for all  $i \geq 0$ .
- In particular,  $xz \in L$  (choosing  $i = 0$ )
- Since  $|xy| \leq p$  and  $|y| > 0$ ,  $y$  consists of 1 or more  $a$ 's. If we remove  $y$  from  $a^p b^{2p}$ , we get a string with  $2p$   $b$ 's and less than  $p$   $a$ 's.

- $xz$  has  $2p$   $b$ 's and less than  $p$   $a$ 's. But then, by the definition of  $L$ ,  $xz \notin L$ . This is a contradiction.
- It follows that the assumption that  $L$  is regular is wrong.
- So, we have shown that  $L$  is not regular.

### Example

Prove  $L$  is not regular.

$$L = \{a^{p^2} \mid p \geq 0\}$$

Assume that  $L$  is regular. By the pumping lemma, there is a  $p \in \mathbb{N}$  such that for all  $s \in L$  such that  $|s| \geq p$  there exists  $x, y, z$  such that  $s = xyz$ ,  $|xy| \leq p$ ,  $|y| > 0$  for all  $i \geq 0$ ,  $xy^i z \in L$ . Let  $s = a^{p^2}$ . So by definition of  $L$ ,  $s \in L$  and  $|s|$  is clearly greater than or equal to  $p$ . So, there exist  $x, y, z$  such that  $s = xyz$ ,  $|xy| \leq p$ ,  $|y| > 0$  and for all  $i \geq 0$ ,  $xy^i z \in L$ . Let  $i = 2$ .

By the pumping lemma,  $xy^2z \in L$ . Since  $|y| > 0$ ,  $|xy^2z| > p^2$ . Thus  $|xy^2z| \geq (p+1)^2$ . Since  $|xy| \leq p$ ,  $|xy^2z| \leq p^2 + p$ .

So,  $(p+1)^2 \leq p^2 + p$ . This is a contradiction and thus  $L$  is not regular.

### Example

$$\Sigma = \left\{ \begin{bmatrix} 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \end{bmatrix} \right\}$$

$$L = \{x \in \Sigma^* \mid \text{the top string is the reverse of the bottom}\}$$

$$\begin{bmatrix} 0 \\ 1 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \in L$$

$$\begin{bmatrix} 0 \\ 0 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \end{bmatrix} \in L$$

$$\begin{bmatrix} 0 \\ 1 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \notin L$$

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Assume that  $L$  is regular. By the pumping lemma, there exists  $p \in \mathbb{N}$  such that for all  $s \in L$  such that  $|s| \geq p$  there exist  $x, y, z$  such that  $s = xyz$ ,  $|xy| \leq p$ ,  $|y| > 0$ , and  $\forall i \geq 0 (xy^i z \in L)$ .

Let  $s = \begin{bmatrix} 0 \\ 1 \end{bmatrix}^p \begin{bmatrix} 1 \\ 0 \end{bmatrix}^p$ . Let  $x, y, z$  be such that  $s = xyz$ ,  $|xy| \leq p$ ,  $|y| > 0$  and for all  $i \geq 0$ ,  $xy^i z \in L$ .

Let  $i = 3$ . By the pumping lemma,  $xy^3z \in L$ . But  $xy^3z = \begin{bmatrix} 0 \\ 1 \end{bmatrix}^{p+2|y|} \begin{bmatrix} 1 \\ 0 \end{bmatrix}^p$ . This is a contradiction and thus  $L$  is not regular.

You can find all my notes at <http://omgimanagerd.tech/notes>. If you have any questions, comments, or concerns, please contact me at [alvin@omgimanagerd.tech](mailto:alvin@omgimanagerd.tech)